J.W. Thompson
INVESTMENTS

Fact Finder

Please provide the following information

If you are completing this in Excel, use TAB to go from field to field and don't forget to save this to your own files before sending back to us.

Your Name ______________________ Spouse's Name ______________________

Employer ______________________ Employer ______________________

Home Address Line 1 ______________________

Home Address Line 2 ______________________

City, State and Zip ______________________

Email Address ______________________ Last four digits of your Social Sec No._____

Phone - Work ______________________ Phone - Cell ______________________

PERSONAL INFORMATION	**YOU**	**YOUR SPOUSE**
Date Of Birth		
Annual Income		
Annual Income after taxes		
Any Other Income and the source		
Current Social Security Annual Inc		

Pension 1: Amount Per Month You'll Receive in Today's Dollars, When, and Source

______________________ ______________________

Pension 2: Amount Per Month You'll Receive in Today's Dollars, When, and Source

______________________ ______________________

MORTGAGE AND PERSONAL DEBT

Mortgage Balance ______________________

Total of all other non-mortgage-debt ______________________

FAMILY LIVING EXPENSES - PER MONTH

Mortgage PMT (include taxes & Insurance) or Rent		Education costs	
Automobile (include gas, insurance & taxes)		Entertainment	
Debt Payments (credit card & home equity loan)		Clothing	
Savings (don't include retirement plan savings)		Travel	
Groceries		Anything Else	
Day Care			
Healthcare		Any Other Extraordinary Expenses	
Utilities (include electric, water, heating)		Please specify the nature, amount and frequency	
Phones			
Cable and Internet			

J.W. Thompson
INVESTMENTS

Fact Finder

At What Age Do You Hope To Retire? ________ At What Age Does Your Spouse Hope To Retire? ________

FAMILY INFORMATION

Check below if you will be paying for college for each child

Child 1	____	Date of birth	____	Private	____	Public	____
Child 2	____	Date of birth	____	Private	____	Public	____
Child 3	____	Date of birth	____	Private	____	Public	____
Child 4	____	Date of birth	____	Private	____	Public	____
Child 5	____	Date of birth	____	Private	____	Public	____
Child 6	____	Date of birth	____	Private	____	Public	____

If you don't currently have any children, do you hope to have any in the future? Yes & # ________ No ________

YOUR ONGOING SAVINGS AND INVESTMENTS

For all of the accounts that you have, it would be most helpful if you could send us a copy of your most recent statement. That allows us to know your total asset allocation. If you can't send us copies of your statements, please detail the holdings in each account at the end of this Fact Finder. THERE IS NO NEED TO INCLUDE ANY INFO ABOUT YOUR 401(k) PLAN.

	YOU	YOUR SPOUSE
401(k) - 403(b) - 457 Plans -Total of All	____	____
*** Annual Amount of Contributions to Above	____	____
IRA's - Total of All	____	____
*** Annual Amount of Contributions to Above	____	____
ROTH IRA's - Total of All	____	____
*** Annual Amount of Contributions to Above	____	____
Non Retirement Investment Accounts	____	____
*** Annual Amount of Contributions to Above	____	____
Savings Accounts - Total of All	____	____
*** Annual Amount of Contributions to Above	____	____
Certificates of Deposit - Total of All	____	____
*** Annual Amount of Contributions to Above	____	____
Variable Annuities - Total of All	____	____
*** Annual Amount of Contributions to Above	____	____
Fixed Annuities- Total of All	____	____
*** Annual Amount of Contributions to Above	____	____
Taxable Money Market Accounts - Total	____	____
Checking Accounts - Total All	____	____
Miscellaneous Savings or Investments	____	____

Your Primary Residence Current Value ________ Current MortgageAmt ________

OTHER RETIREMENT INCOME ITEMS

Sale of your current - primary - home   Sell in What Year? ____________________

Any inheritance   Approx Amount __________   Expected Year __________

Part-time employment   Start at what age? ______   Continue for how many years? ______   Income/yr ______

Any special retirement package or severance- amount __________   Will receive when? __________

Rental Property Income - annual income __________   If this will be sold in the future, when? ______

	Current Value	Current Mortgage	Sell In What Year?
Sale of any other real estate			

Deferred Compensation   How much will you receive? ______   When? ______   How Long ______

Any other lumpsum income - amount and source ____________________

OTHER RETIREMENT EXPENSE ITEMS

Purchase another home   When? __________   Anticipated cost in today's $'s __________

Big travel plans   Cost __________   Start year ______   How often to repeat activity? ______

Health Care Costs- annual amoun __________   Start year ______   Any end year of modify year? ______

Long-term Care Premiums-amoun __________   Start year ______   Any end year of modify year? ______

Any Other Large Expenditures ____________________

description - amount - duration ____________________

____

____

____

____

WHAT KIND OF INVESTOR ARE YOU?

What is your goal for your 401(k) Plan?

______ To grow aggressively?

______ To grow significantly?

______ To grow moderately?

______ To grow with caution?

______ To avoid loosing money?

When do you expect to start drawing money out of your 401(k) Plan?

______ Not for at least 20 years

______ In 10 to 20 years

__________ In 5 to 10 years

__________ Not now, but within 5 years

__________ Immediately

Assuming normal market conditions, what would you expect from the investments in your 401(k) Plan?

__________ To generally keep pace with the stock market

__________ To slightly trail the stock market, but make a profit

__________ To trail the stock market, but make a general profit

__________ To have some stability, but make a modest profit

__________ To have a high degree of stability, but make a small profit

Suppose the stock market performs unusually poor over the next decade, what would you expect the investments in your 401(k) to do?

__________ To lose money

__________ To make very little profit

__________ To eke out a small gain

__________ To make a modest gain

__________ To be little affected by what happens in the stock market

Which of these statements would best describe your attributes about the next 3 years?

__________ I don't mind if I loose money

__________ I can tolerate a small loss

__________ I'd have a hard time tolerating any losses

__________ I'd need to see at least a little gain

Which of these statements would best describe your attributes about the next 3 months performance of your 401(k) Plan?

__________ Who cares? One quarter means nothing to me

__________ I wouldn't worry about losses in that time frame

__________ If I suffered a loss greater than 10% I'd be concerned

__________ I can only tolerate small short term losses

__________ I'd have a hard time with any loss

List of Details Investments/Accounts:

For any account that you can't send a recent statement, please provide the following information:

Account Name	Retirement or Taxable	Specific Investments	Symbol	Shares	Current Value

J.W. Thompson
INVESTMENTS

Fact Finder

Account Name	Retirement or Taxable	Specific Investments	Symbol	Shares	Current Value

Account Name	Retirement or Taxable	Specific Investments	Symbol	Shares	Current Value

Account Name	Retirement or Taxable	Specific Investments	Symbol	Shares	Current Value

J.W. Thompson
INVESTMENTS

Fact Finder

Account Name	Retirement or Taxable	Specific Investments	Symbol	Shares	Current Value

If you need more space, simply add the remaining information to a new sheet and include it with the Fact Finder.

REMEMBER TO SAVE THIS TO YOUR FILES BEFORE SENDING BACK TO US

You can mail your completed Fact Finder to:

J.W. Thompson Investments

245 Waterman Street - Suite 301

Providence, Rhode Island 02906

or email it to us at: John@jwti.com or fax it back to us at 401-453-5554

If you have any questions, please call us at 401-453-5553 or 800-929-9479